01	OIPE 40							
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 1210/95954						
In Rep	In Reason Of: Andreas Seifert et al.							
Applic	cation No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.		
10/	563,701	01/05/2006	Not Yet Assigned	24628	NYA	NYA		
Title: FACET MIRRORS AND A METHOD OF PRODUCING MIRROR FACETS								
			Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14					
The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
			37 CFR 1.97(c)					
2.	2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
☐ the statement specified in 37 CFR 1.97(e);								
OR _								
	☐ the f	ee set forth in 37 CF	R 1.17(p).					

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OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 1210/95954 FFB 0 8 2006 In Re Application Andreas Seifert et al. Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/563,701 01/05/2006 Not Yet Assigned 24628 **NYA** NYA Title: FACET MIRRORS AND A METHOD OF PRODUCING MIRROR FACETS Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) □ A check in the amount of is attached. ☑ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited I certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States as first class mail in an envelope addressed to Patent and Trademark Office (Fa "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on February 6, 2006 (Date) (Date) Signature Signature of Person Mailing Correspondence GERALD T. SHEKLETON, (27,466) Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: February 6, 2006 Gerald T. Shekleton WELSH & KATZ, LTD. 120 South Riverside Plaza 22nd Floor Chicago, Illinois 60606-3912 Telephone (312) 655-1500 Facsimile (312) 655-1501

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TATROETS. P	atent Application) Confirmation No.: Not Yet Assigned
Applicant:	Andreas Seifert et al.) I hereby certify that this correspondence is) being deposited with the United Postal
Serial No.:	10/563,701	 Service as first class mail in an envelope addressed to: Mail Stop AMENDMENT,
Filed:	January 5, 2006	Commissioner of Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.
For:	FACET MIRROS AND A METHOD OF PRODUCING MIRROR FACETS	February 6, 2006
Examiner:	Not Yet Assigned	Charlet Kelder
Art Unit:	Not Yet Assigned	Gerald T. Shekleton Reg. No. 27,466

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents is disclosed on the attached Form PTO-1449 that may be material to the examination of this application. The listed Documents are enclosed herewith.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

Also enclosed is a copy of the International Search Report with attached cited references.

The cited document(s) disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the document(s) and determine the extent of the materiality of the document disclosures with respect to the present invention.

Attorney Docket No. 1210/95954 Serial No. 10/563,701

Filed: January 5, 2006

The discussion of any art and the citation of any document(s) herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and document(s) recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application. The recitation herein of the art and document(s) is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted, WELSH & KATZ, LTD.

sould T. Shell

Gerald T. Shekleton Registration No. 27,466

Dated: <u>February 6, 2006</u> WELSH & KATZ, LTD.

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MEDRINATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 1

Complete if Known			
Application Number	10/563,701		
Filing Date	January 5, 2006		
First Named Inventor	Andreas Seifert et al.		
Art Unit_	Not Yet Assigned		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	1210/95954		

U.S. PATENT DOCUMENTS						
	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	No.1	Number-Kind Code ^{2 (if known)}				
	1	US 3,254,556	06/07/1966	J.J.J. Staunton		
	2	US 4,195,913	04/01/1980	Dourte et al.		
	3	US 4,277,141	07/07/1981	Kleiber		
	4	US 5,837,082	11/17/1998	Gräfe		
·	5	US 6,166,868	12/26/2000	Holderer et al.		
	6	US 2002/0021507	02/21/2002	Melzer et al.		
	7	US 2003/0058555	03/27/2003	Takino		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
	7	GB 577,059	05/02/1946	John H. McLeod et al.		
	8	EP 1 296 162	03/26/2003	Nikon Corporation		
	9	DE 197 35 831	02/25/1999	Zeiss Carl FA		
	10	JP 2000-098108	04/07/2000	Nippon Kogaku KK		
	11	JP 2000-098110	04/07/2000	Nippon Kogaku KK	, , , , , , , , , , , , , , , , , , ,	
	12	JP 2000-098011	04/07/2000	Nikon Corp.		
	13	JP 2000-098112	04/07/2000	Nippon Kogaku KK		
	14	JP 2000-098113	04/07/2000	Nikon Corp.		
	15	JP 2000-098114	04/07/2000	Nikon Corp.		
	16	JP 2000-131520	05/09/2000	Nikon Corp.		
	17	JP 2000-339058	12/08/2000	Agilent Technologies Inc.		
	18	JP 2000-162414	06/16/2000	Nikon Corp.		
	19	JP 2000-162416	06/16/2000	Nippon Kogaku KK		
	20	JP 60-060618	04/08/1985	Toshiba Corp.		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	21	Article entitled "Fabrication Methods for Precision Optics," Author: Hank H. Karow, (Dated 1993, Wiley, New York, Article No. XP002298986, pp. 560-562)	

Examiner	Date	
Signature	Considered	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.